



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/652,576	08/31/2000	Anthony W. Ulwick	9509.4824

Malin Haley & DiMaggio PA
1936 South Andrews Avenue
Fort Lauderdale, FL 33316



FORMALITIES LETTER



OC000000005476169

Date Mailed: 10/16/2000

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 65.

A copy of this notice MUST be returned with the reply.

Tadelech Petros

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

12/20/2000 MAHME1 00000150 09652576

01 FC:205

65.00 OP



12-18-00

SECTOR
\$

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of : ANTHONY W. ULWICK
Serial No. : 09/652,576
Filed : AUGUST 31, 2000
Invention : **COMPUTER BASED PROCESS FOR
STRATEGY EVALUATION AND OPTIMIZATION
BASED ON CUSTOMER DESIRED
OUTCOMES AND PREDICTIVE METRICS**
Our File No. : 9509.4824

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

Hon. Commissioner of Patents and Trademarks
Attn: Application Division - Special Handling Unit
Washington, D.C. 20231

Dear Sir:

In response to the Notice to File Missing Parts of Application dated October 16, 2000, Part 2 copy enclosed, the Applicant submits herewith the Declaration and Power of Attorney, and Verified Statement (Declaration) Claiming Small Entity Status.

Enclosed is a check made payable to the Commissioner of Patents and Trademarks in the amount of \$65.00 to cover the surcharge for filing the missing part to the application for a small entity.

Any additional charges, including extensions of time, please bill our Account No. 13-1130.

Respectfully submitted,

Dale Paul DiMaggio, Esq., Reg. No. 31,823
Malin, Haley & DiMaggio, P.A.
1936 South Andrews Avenue
Fort Lauderdale, Florida 33316
(954) 763-3303



Serial Number: 09/652,576

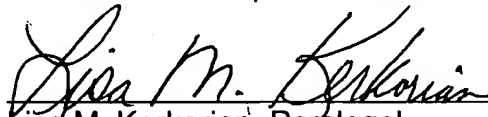
File Number: 9509.4824

CERTIFICATE OF EXPRESS MAIL

I HEREBY CERTIFY that the following correspondence; Response Letter to Notice to File Missing Parts of Nonprovisional Application; Part 2 of Notice to File Missing Parts of Nonprovisional Application dated October 16, 2000; Executed Verified Statement (Declaration) Claiming Small Entity Status; Executed Declaration and Power of Attorney for Patent Application; One check in the amount of \$65.00; and a Return Postcard for confirmation of receipt, is being deposited with the United States Postal Service as Express Mail No. EL619442307US, addressed to: Commissioner of Patents and Trademarks, Washington, D.C. 20231, on this 15th day of December, 2000.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code.

Any additional charges, including extension of time, please bill our Account No. 13-1130.


Lisa M. Kerkorian, Paralegal

Date: Friday, December 15, 2000

MALIN, HALEY & DiMAGGIO, P.A.
1936 South Andrews Avenue
Fort Lauderdale, Florida 33316
(954) 763-3303